

# Assessment Report

## Fakultas Syariah dan Hukum

Assessment dates	30/01/2020 to 31/01/2020 (Please refer to Appendix for details)
Assessment Location(s)	Palembang (000)
Report Author	EriPradhana Bharata
Assessment Standard(s)	ISO 9001:2015



## Executive Summary

In line with the stated strategic direction and intended results of the university's quality management system, particularly with regard to achieve organization objective as noted in quality objectives, the management system has demonstrated that it is largely designed to support the strategic direction and deliver the intended results. There are however further possible opportunities to improve relating to achieving the intended results. Hence, the identified non conformities and opportunities of improvement have been raised, associated with:

1. Management review
2. Internal audit finding
3. Monitoring and evaluation
4. Control of design.

## Changes in the organization since last assessment

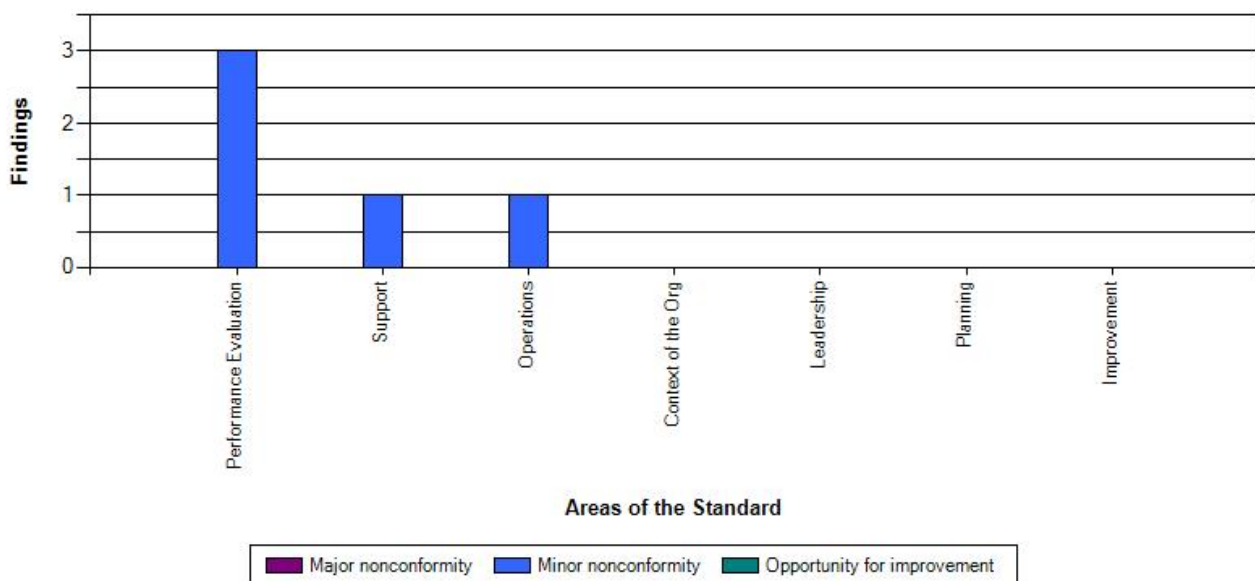
There is no significant change of the organization structure and key personnel involved in the audited management system.

No change in relation to the audited organization's activities, products or services covered by the scope of certification was identified.

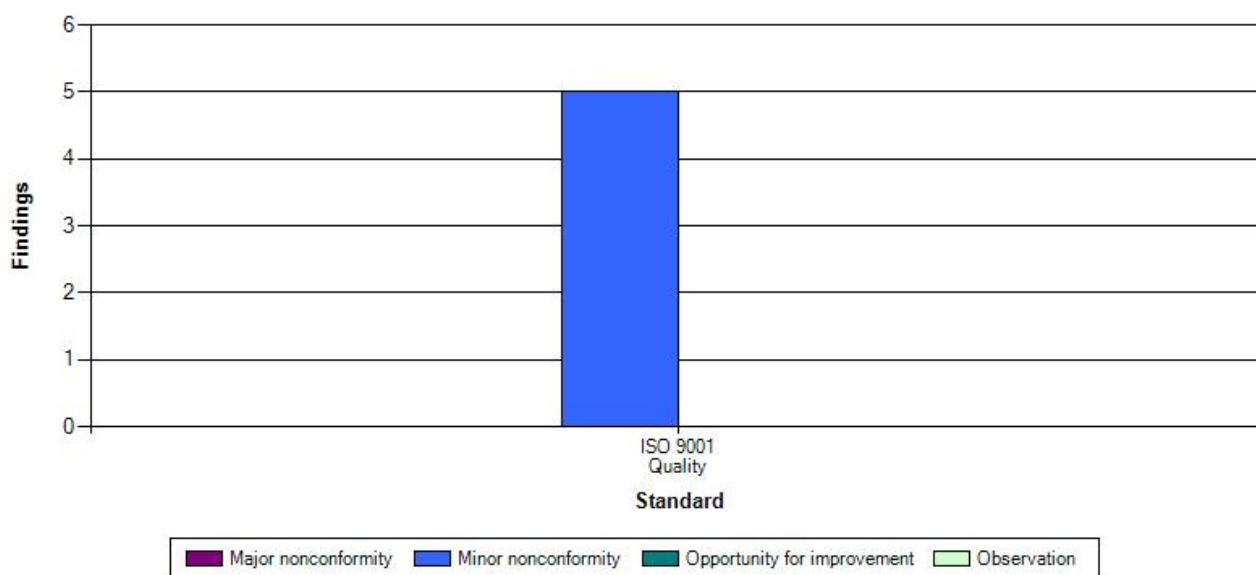
There was no change to the reference or normative documents which is related to the scope of certification.

## NCR summary graphs

### Areas of the standard(s) where BSI recorded findings



### Which standard(s) BSI recorded findings against



## Where BSI recorded findings



## Your next steps

### NCR close out process

Corrective actions with respect to nonconformities raised at the last assessment have been reviewed and found to be effectively implemented.

5 nonconformities requiring attention were identified. These, along with other findings, are contained within subsequent sections of the report.

A nonconformity relates to a single identified lapse, which in itself would not indicate a breakdown in the management system's ability to effectively control the processes for which it was intended. It is necessary to investigate the underlying cause of any issue to determine corrective action. The proposed action will be reviewed for effective implementation at the next assessment.

Please refer to Assessment Conclusion and Recommendation section for the required submission and the defined timeline.

## Assessment objective, scope and criteria

The objective of the assessment was to conduct a surveillance assessment and look for positive evidence to ensure that elements of the scope of certification and the requirements of the management standard are effectively addressed by the organisation's management system and that the system is demonstrating the ability to support the achievement of statutory, regulatory and contractual requirements and the organisation's specified objectives, as applicable with regard to the scope of the management standard, and to confirm the on-going achievement and applicability of the forward strategic plan and where applicable to identify potential areas for improvement of the management system.

The scope of the assessment is the documented management system with relation to the requirements of ISO 9001:2015 and the defined assessment plan provided in terms of locations and areas of the system and organisation to be assessed.

ISO 9001:2015

Fakultas Syariah dan Hukum UIN Raden Fatah Palembang management system documentation

## Statutory and regulatory requirements

- Sistem Penjaminan Mutu Internal (SPMI), Standar Mutu UIN Raden Fatah Palembang, 2017. QA-UINSPMI- SM-03, 3 Jul. 2017
- Keputusan Rektor UIN Raden Fatah Palembang, no. B.898/Un.09/1.2/KP.07.6/08/2018, dated 16 Aug. 2018, Surat Keputusan Gugus Penjamin Mutu Pascasarjana dan Fakultas UIN Raden Fatah Palembang

## Assessment Participants

Name	Position	Opening Meeting	Closing Meeting	Interviewed(processes)
see attd list	see attd list	X	X	X

## Assessment conclusion

BSI assessment team

Name	Position
EriPradhana Bharata	Team Leader

### Assessment conclusion and recommendation

The audit objectives have been achieved and the certificate scope remains appropriate. The audit team concludes based on the results of this audit that the organization does fulfil the standards and audit criteria identified within the audit report and it is deemed that the management system continues to achieve its intended outcomes.

**RECOMMENDED - Corrective Action Plan Required ('Minor' findings only):** The audited organization may be recommended for certification / continued certification, based upon the acceptance of a satisfactory corrective action plan for all 'Minor' findings as shown in this report. Effective implementation of corrective actions will be reviewed during the next surveillance audit.

You are required to identify the cause and implement corrections and corrective actions required to address all nonconformities before your next BSI assessment relating to the certificate against which the nonconformities were raised.

### Use of certification documents, mark / logo or report

The use of the BSI certification documents and mark / logo is effectively controlled.

## Findings from previous assessments

<b>Finding Reference</b>	1736897-201901-N1	<b>Certificate Reference</b>	FS 637518
<b>Certificate Standard</b>	ISO 9001:2015	<b>Clause</b>	8.3.5
<b>Category</b>	Minor		
<b>Area/Process:</b>	Program Studi Hukum Perbandingan Islam (Jinayah):		
<b>Details:</b>	<p>That the retained documented information of design and development output, Rencana Pembelajaran Semester (RPS) as in printed documentation of RPS Program Studi Hukum Pidana Islam Tahun 2018/2019 cannot be shown during audit, although other RPS in portrait format available in LBKD.</p> <p>Rencana Pembelajaran Semester (RPS) untuk mata kuliah Pengantar Ilmu Hukum (Kode: HP1 1012) Tahun Ajaran 2018 Semester Ganjil (20181) tidak ditemukan pada dokumen cetak RPS Program Studi Hukum Pidana Islam Tahun 2018/2019, walau ada RPS berformat portrait di LBKD.</p>		

<b>Objective Evidence:</b>	Rencana Pembelajaran Semester (RPS) untuk mata kuliah Pengantar Ilmu Hukum (Kode: HP1 1012) Tahun Ajaran 2018 Semester Ganjil (20181)
<b>Cause</b>	
ada miskomunikasi dengan percetakan	
<b>Correction/containment</b>	
akan dimasukkan/dicetak ulang - evidence RPS Program Studi Pidana Islam (Jinayah) tahun 2019/2020	
<b>Corrective action</b>	
berkomunikasi dengan jelas kepada pihak percetakan tentang naskah yang akan dicetak dan pihak prodi melakukan perjanjian untuk dapat mengontrol hasil cetak/dummy sebelum cetak akhir untuk memastikan hasil cetak sesuai dengan yang diinginkan.	
<b>Closed?:</b>	
Yes	

Finding Reference	1788360-201906-N1	Certificate Reference	FS 637518
Certificate Standard	ISO 9001:2015	Clause	6.3
Category	Minor		
Area/Process:	Top Management and Management Representative		
Details:	Manajemen perubahan belum ditetapkan untuk memitigasi resiko akibat perubahan dalam organisasi. Sebagai contoh, pergantian penjabat GPMF dari penjabat lama ke yang baru tidak melalui proses serah terima pekerjaan dan dokumen.		
Objective Evidence:	Interview with auditee		
Cause			
Clausul Manajemen perubahan terlewat di review , sehingga dalam manual belum dijelaskan.			
Correction/containment			
Melakukan revisi manual dengan menambahkan clausul manajemen perubahan serta bagaimana proses jika terjadi perubahan manajemen.			
Corrective action			
Memastikan jika terjadi perubahan manajemen mengacu kepada manual mutu yang telah ditetapkan.			
Closed?:			
Yes			

<b>Finding Reference</b>	1788360-201906-N2	<b>Certificate Reference</b>	FS 637518
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<b>Certificate Standard</b>	ISO 9001:2015	<b>Clause</b>	8.1
<b>Category</b>	Minor		
<b>Area/Process:</b>	Program Studi Tata Negara (S2)		
<b>Details:</b>	Ditemukan bahwa RPS (Rencana Pembelajaran Semester) semester ganjil 2018/2019 belum seluruhnya dibuat meskipun proses pembelajaran telah berjalan (Prodi S2 HTN)		
<b>Objective Evidence:</b>	RPS Prodi S2 HTN		
<b>Cause</b>			
Belum sempat di cetak untuk dokumen Rencana Pembelajaran Semester (RPS)			
<b>Correction/containment</b>			
Rencana Pembelajaran Semester (RPS) Prodi S2 HTN di buat.			
<b>Corrective action</b>			
Memastikan sebelum kegiatan pembelajaran dimulai, semua dosen sudah membuat RPS dengan benar.			
<b>Closed?:</b>			
Yes			

<b>Finding Reference</b>	1788360-201906-N3	<b>Certificate Reference</b>	FS 637518
<b>Certificate Standard</b>	ISO 9001:2015	<b>Clause</b>	8.5.2
<b>Category</b>	Minor		
<b>Area/Process:</b>	Laboratorium dan Perpustakaan		
<b>Details:</b>	Inventarisasi dan pemeriksaan rutin kondisi alat-alat milik lab Fakultas Syariah belum dilakukan maksimal. Di ruang sidang semu, tidak ditemukan daftar inventaris perlengkapan, dan terdapat kasus kehilangan barang (palu hakim) yang tidak dapat ditelusuri.		
<b>Objective Evidence:</b>	Lab visit, interview with auditee		
<b>Cause</b>			
Karena lokal masih bergabung dengan fakultas lain.			
<b>Correction/containment</b>			
Penggantian Palu Hakim Baru.			
<b>Corrective action</b>			
Mastikan pemantauan secara berkala dengan detail tetap dilakukan.			
<b>Closed?:</b>			
Yes			

## Findings from this assessment

### Top Management/Dean::

Auditee: Prof. Dr. Romli S.A., M.Ag., Dr. H. Marsaid, M.A., Dra. Fauziah, M.Hum., Drs. M. Rizal MH.

Clause: 4.1, 5.1-5.3, 9.3

Objective evidence.

Strong commitment shown by top management, Mr. Prof. Dr. Romli S.A., M.Ag. as the Dean of this faculty, also the rector of UIN Raden Fatah Palembang. They commit to implement this QMS as a tool to improve, and also strengthen the accreditation process. The intended results, like accreditation status from BAN PT, was found achieved through this system.

Also no significant change taken from previous visits. The vision, mission and policy were reviewed and decided to keep them as established in 2017. The change was taken as the follow up of new regulation, it's about the separation of Program Studi Siyasah into new Faculty named Fakultas Ilmu Sosial dan Ilmu Politik (FISIP), no longer under the faculty of Syariah and Law. This change was found managed well. The organization found improved its outputs release through 4 times of graduation in 2018, this become one of top management's key performance indicator (IKU).

2 Prodi ( Prodi HES - B dan Prodi HTN S2 ) - 3 Prodi A .

Audit evidences

- Laporan Kegiatan Rapat Tinjauan Manajemen 2018, 22 Nov. 2018. - Terakhir Rapat koodirnasi
- Kebijakan Mutu, Keputusan Rektor no. 836 Tahun 2017, QA-UIN-SPMI-KM-01, 2017
- Sistem Penjaminan Mutu Internal (SPMI), Standar Mutu UIN Raden Fatah Palembang, 2017. QA-UIN-SPMI-SM-03, 3 Jul. 2017
- Keputusan Rektor UIN Raden Fatah Palembang, no. B.898/Un.09/1.2/KP.07.6/08/2018, dated 16 Aug. 2018, Surat Keputusan Gugus Penjamin Mutu Pascasarjana dan Fakultas UIN Raden Fatah Palembang
- Keputusan Dekan no. 516 Tahun 2017, Perbelakuan Katalog KKNi Program Studi.
- Laporan Rapat Kerja Tahun 2019, Agenda Kegiatan Tahun 2019 dan Program Kerja Tahun 2019, di Jogjakarta, Februari 2019 , Rencana Raker tahun 2020 ( Feb - 2020 )
- Jobdis, Uraian Tugas Pejabat, Pegawai, Dosen BLU dan Pegawai BLU. Jobdis Pejabat Fakultas Syariah dan Hukum Berdasarkan Permenag RI no. 53/2015.
- Standar Uraian Pekerja (Job Deskripsi), Palembang 2016 - Juni 2020
- Instrumen Audit Internal Program Studi Sarjana UIN Raden Fatah Palembang 2017
- Buku Konsultasi Mahasiswa Fakultas Syariah, 2018
- Buku Kontrol Tugas Skripsi, 2018
- Evaluasi Diri Program Studi Perbandingan Mazhab (S1) 2018
- Daftar Distribusi Training Kit Peserta Focus Group Discussion, 4-5 Mar. 2017
- Rencana Kerja dan Anggaran Kementerian/Lembaga (RKA-K/L) Fakultas Syariah dan Hukum Tahun 2017
- still update on June 2020.

Conclusion.

In general top management shown good commitment , with all explanation regarding improvement that has been meet due to management system Implementation. Result from BAN PT Accreditation is A.

### GPMF/GPMP::

Auditee: Iftitah, Mr. Burhan , Mr. Syaiful Aziz ( GPMP -PM ) , Mrs.

Clause: 4.3; 4.4; 6.1; 6.2; 6.3; 7.1; 7.3;7.4; 7.5; 9.1; 9.2; 9.3; 10

Objective evidence.

The GPMF/GPMP, or quality control cycle Faculty and Prodi was established by Rector of UIN Raden Fatah Palembang through a letter Keputusan Rektor UIN Raden Fatah Palembang, no.



B.238/Un.09/1.2/KP.07.6/01/2019, dated 7 Januari 2019 , Surat Keputusan Gugus Penjamin Mutu Pascasarjana dan Fakultas UIN Raden Fatah Palembang.. The change of the assigned head of GPMF - Head of Fakultas Syariah dan Hukum - Dr. Muhammad Burhan . M.Ag.

The QMS has been reviewed by Top Management through a meeting on 29 August 2019 ( Note : evidence only by Photo ) , the required inputs were considered, such as:

- issues related accreditation for Program Studi, change from manual to on-line with SAPTO.
- requirement from government, like Permenrisdikti no. 44/2015 about SN-DIKTI
- the target to achieve A accreditation overall in 2020.

The decision and recommendation from top management were recorded in Laporan Kegiatan Rapat Tinjauan Manajemen 2018, such as:

- the need to conduct socialization about quality policy.
- the need to understand more about quality objective
- also strengthen all to improve accreditation status, especially those from B to A.

The internal audit was conducted as in its program and schedule, the latest one was on 21 Aug. 2018. Each NC was recorded as KTS and followed up. Example: terdapat 2 temuan ( tidak ada serah terima dokumen ) , tidak tidak bukti dokumen manajemen review.

In order to ensure valid and reliable results when the same understanding and delivery to the students by lecturers for a course, organization established a consortium. The consortium conduct meetings to discuss about RPS, syllabi, courses, modules to make learning materials.

- Keputusan Rektor UIN Raden Fatah Palembang, no. B.898/Un.09/1.2/KP.07.6/08/2018, dated 16 Aug. 2018, Surat Keputusan Gugus Penjamin Mutu Pascasarjana dan Fakultas UIN Raden Fatah Palembang
- Laporan Kegiatan Rapat Tinjauan Manajemen 2018, 22 Nov. 2018.
- Daftar Hadir Rapat Tinjauan Manajemen, FORM-QA-07.04/R.0, 22 Nov. 2018.
- Kebijakan Mutu, Keputusan Rektor no. 836 Tahun 2017, QA-UIN-SPMI-KM-01, 2017
- Sistem Penjaminan Mutu Internal (SPMI), Standar Mutu UIN Raden Fatah Palembang, 2017. QA-UIN-SPMI-SM-03, 3 Jul. 2017
- Keputusan Rektor UIN Raden Fatah Palembang, no. B.898/Un.09/1.2/KP.07.6/09/2019, dated 7 Januari 2019 Surat Keputusan Gugus Penjamin Mutu Pascasarjana dan Fakultas UIN Raden Fatah Palembang
- Keputusan Dekan no. 516 Tahun 2017, Perbelakuan Katalog KKNI Program Studi.
- Laporan Rapat Kerja Tahun 2018, Agenda Kegiatan Tahun 2018 dan Program Kerja Tahun 2019, Pangkal Pinang, 29-31 Mar. 2018
- Jobdis, Uraian Tugas Pejabat, Pegawai, Dosen BLU dan Pegawai BLU. Jobdis Pejabat Fakultas Syariah dan Hukum Berdasarkan Permenag RI no. 53/2015.
- Standar Uraian Pekerjaan (Job Deskripsi), Palembang 2016
- Instrumen Audit Internal Program Studi Sarjana UIN Raden Fatah Palembang 2019
- Hasil Audit Mutu Internal 2019, Fakultas Syariah dan Hukum, . 2019, Instrument BAN-PT Criteria 1-7.
- Buku Konsultasi Mahasiswa Fakultas Syariah, 2019
- Buku Kontrol Tugas Skripsi, 2018
- Evaluasi Diri Program Studi Perbandingan Mazhab (S1) 2018
- Daftar Distribusi Training Kit Peserta Focus Group Discussion, 4-5 Mar. 2017
- Rencana Kerja dan Anggaran Kementerian/Lembaga (RKA-K/L) Fakultas Syariah dan Hukum Tahun 2018
- Realisasi POK + SALWA + APRESIASI tahun 2018

Conclusion:

GPMF/GPMP as the representative of assigned PIC for this system found performing their roles, responsibility and authority effectively. All planned activities related with QMS were performed, such as management review and internal audit. Although, internal communication and communication to LPM need to improve.

## Program Studi Perbandingan Mazhab::

Auditee: Dr. M. Toriq, Lc. M.A., Syahri Jamil, M.Pd, Syaiful Aziz, Lusiana, Gita Astrid

Clause: 6.1; 6.2; 6.3; 8.1; 8.5; 8.6; 8.7; 9.1; 10

The main objective for this program is to create expert in law comparison. The candidate of the student is preferably from Pesantren (Islamic boarding school). The reference used was dominated with Perdebatan Mazhab. These two items were identified as risk mitigation to ensure that the objectives achieved.

Competent lecturers were also provided and maintained with clear evidences, example: lecturer named M. Zuhdi (Lektor Kepala IV-B, bidang Muqorona Mazahib Fil Ushul). certificate no.

Un.04/R/PP.00.9/2041.a/2009. The education services were provided with clear design and development, where Rencana Pembelajaran Semester (RPS) established referred to its catalog. The RPS describing clearly about the time, objective and method per week per study. Example: RPS Ushul Fiqih Lanjutan, code: MPM 3102 (2 SKS). Week 1: agreement of study content for 1 semester, material: study contract and introduction.

The customer property was managed well, such as certificate of graduation. The survey was also taken as in Hasil Survey Penyelenggaraan Program Studi Program Studi Perbandingan Mazhab, showing satisfactory. The graduated students were also traced, as in Tracer Alumni. The result regarding the occupation of alumni: 66.1% not working and 33.9% working, where not working alumni is taking magister education.

Audit evidences:

- Jobdis, Uraian Tugas Pejabat, Pegawai, Dosen BLU dan Pegawai BLU. Jobdis Pejabat Fakultas Syariah dan Hukum Berdasarkan Permenag RI no. 53/2015.
- Standar Uraian Pekerja (Job Deskripsi), Palembang 2016
- Competence evidences of M. Zuhdi, Lektor Kepala IV-B, Bid. Muqorona Mazahin Fil Ushul:
- Sertifikat Pendidik no. Un.04/R/PP.00.9/2041.a/2009
- Dosen tetap 16 orang - Dosen Luar Biasa - 40 orang.
- Instrumen Audit Internal Program Studi Sarjana UIN Raden Fatah Palembang 2017
- Katalog Prodi Studi Perbandingan Mahzab tahun 2019/2020
- Rencana Pembelajaran Semester (RPS), Studi Keislaman code: UIN 1013
- Attendance Mahasiswa utk ttd kontrak perkuliahan evidence - Daftar presensi Dosen an. Syahril Jamil.
- Soal UAS untuk mata kuliah Studi Keislaman - ( has been check and verified )
- Detail Nilai Mata Kuliah Mahasiswa - Semester Ganjil Mata Kuliah Studi Keislaman.
- Data monitoring dan evaluasi dosen. an. Syahril Jamil
- Rencana Pembelajaran Semester (RPS), Ushul Fiqih Lanjutan, code: MPM 3102 , Dosen Drs. Muhammad Harun M.Ag.
- Attendance Mahasiswa utk ttd kontrak perkuliahan evidence - Daftar presensi Dosen Drs. Muhammad Harun. M.Ag.
- Soal UAS untuk mata kuliah Ushul Fiqih - ( has been check and verified )
- Detail Nilai Mata Kuliah Mahasiswa - Semester Ganjil Mata Kuliah Studi Keislaman.
- Data monitoring dan evaluasi dosen. Drs. Muhammad Harun M.Ag.
- Laporan hasil monitoring evaluasi tahap 2 pembelajaran (GPMP ) blum ada tindak lanjutnya.
- Buku Konsultasi Mahasiswa Fakultas Syariah, 2019
- Buku Kontrol Tugas Skripsi, 2019
- Evaluasi Diri Program Studi Perbandingan Mazhab (S1) 2019
- Hasil Survey Penyelenggaraan Program Studi Program Studi Perbandingan Mazhab, 2018 -- ( Note tahun 2019 Blum dilakukan )
- Tracer Alumni 2017 - ( blum update )

Conclusion:

Perbandingan Mazhab found implementing QMS ISO 9001 effectively. No NC raised for this visit, some issues regarding internal communication and document control were discussed. The improvement also in place, especially regarding accreditation.

## Program Studi Hukum Pidana Islam (Jinayah)::

Auditee: Mr. Fatah Hidayat S.Ag. Mpdi , Mrs, Cholida Utama, Hijriana

Clause: 6.1; 6.2; 6.3; 8.1; 8.3; 8.5; 8.6; 8.7; 9.1; 10

### Objective

Change taken for this study program was about the separation of Siyasah, as now Siyasah become a Faculty called Fakultas Ilmu Sosial dan Ilmu Politik (FISIP). And this change found no affect to the organization. No other change taken.

The vision and mission stated found aligned with the Faculty and also university. The vision and mission found posted in some visible areas. The alignment also found in its daily activities, including the Tridharma Perguruan Tinggi. The PDCA cycle for its output was in place as well, example: each study was provided with clear planning (including design and development), clear references in place. The control also in place, as seen in Beban Kerja Dosen (BKD) and its report (Laporan BKD, LBKD), the planning, RPS, education service and presence of lecturer found recorded clearly. The examinations were also as in schedule and the score per student aligned with the result of first week meeting. The design and development processes were conducted in terms of Rencana Pembelajaran Semester (RPS). The RPS was designed and developed by a consortium developed. One of the input for RPS come from Dokumen Kurikulum KKNi PT 2017, checked and reviewed by Kaprodi and approved by Dean.

### Audit evidences:

- Pedoman Akademik Fakultas Syariah dan Hukum 2017/2018,
- Dokumen Kurikulum KKNi Perguruan Tinggi 2017
- Rencana Pembelajaran Semester (RPS) Program Studi Hukum Pidana Islam (Jinayah) 2019
- Surat Kesiapan Mengajar no. B-1707/Un.09/III.1/PP.00.9/08/2019 kepada Marsaid - Kode MK - HPI5452 Mata Kuliah Fil Jinayah 2 SKS. ( Note : Kode MK diKurikulum HPI 5442)
- Rencana Pembelajaran Semester , MK: Masail Fiqh Jinayah Kode : HPD 7332 ( Beda dgn Dok Kurikulum) Dosen Dr. H.Marsaid, MA ( Tidak ada ttd Dosen pengampu dan TTD Verifikasi Prodi ).
- Daftar Presensi Dosen , Dosen Pengasuh : Dr.H.Marsaid MA.
- Naskah Soal UTS dan Naskah Soal UAS sudah di Verifikasi dan Validasi
- Evidences of competence of Dr. H. Marsaid MA
- S-3 UIN Jogya -
- Sertifikasi Pendidikan Dosen no. 092101602497 form DIKTI
- Data monitoring dan evaluasi dosen. dan Laporan hasil monitoring evaluasi tahap 2 pembelajaran (GPMP ) blum tahun 2019 belum dpt ditunjjnkan karena ( tidak bisa Login ) cek ke LPM
- Buku Konsultasi Mahasiswa Fakultas Syariah, 2019
- Buku Kontrol Tugas Skripsi, 2019
- Evaluasi Diri Program Studi Hukum Pidana Islam (S1) 2017.
- Hasil Survey Penyelenggaraan Program Studi Program Studi Hukum Pindana 2017/2018 -- ( Note tahun 2019 Blum dilakukan )
- Tracer Alumni 2017 - ( blum update )

### Conclusion:

Program Studi Hukum Pidana Islam (Jinayah) found using QMS ISO 9001 as its tool to achieve the objectives. Although a minor raised regarding the RPS established. However non conformities has been raised regarding RPS control.

## Program Studi Hukum Ekonomi Syariah :

Auditee : Mrs. Dra. Atika M.Hum , Mrs. Fatroyah M.HI,

Clause : 6.1; 6.2; 6.3; 8.1; 8.3; 8.5; 8.6; 8.7; 9.1; 10

## Objective evidence

- Pedoman Akademik Fakultas Syariah dan Hukum 2017/2018,
- Dokumen Kurikulum KKNi Perguruan Tinggi 2017
- Rencana Pembelajaran Semester (RPS) Program Studi Hukum Ekonomi Syariah 2019
- 900 Mahasiswa , Dosen 26. ( 2 Prof, 10 Doktor, 16 S2 ) .
- 26 Dosen ( 2 Dosen belum sertifikasi ) dan Sedang dalam nunggu panggilan.
- Surat Kesiapan Mengajar no. B-1707/Un.09/III.1/PP.00.9/08/2019 kepada Armasito - Kode MK - HES 3232 Mata Kuliah , Hukum Pidana 2 SKS.
- Rencana Pembelajaran Semester MK. Hukum Pidana
- Rencana Pembelajaran Semester , MK: Ushul Fikih Kode : HES 3102, Dosen Muhammad Harun M.Ag
- Daftar Presensi Dosen , Dosen Pengasuh.
- Naskah Soal UTS dan Naskah Soal UAS sudah di Verifikasi dan Validasi
- Evidences of competence of Muhammad Harun M.Ag
- S2 IAIN MEDAN -
- Sertifikasi Pendidikan Dosen no. 092101200606 from Depag. Date 9 September 2019
- Data monitoring dan evaluasi dosen. dan Laporan hasil monitoring evaluasi Tahap 1 Semester Ganjil 2019/2020, dan Laporan Hasil Monitoring evaluasi Tahap 2
- Buku Konsultasi Mahasiswa Fakultas Syariah, 2019
- Buku Kontrol Tugas Skripsi, 2019
- Evaluasi Diri Program Studi Hukum Pidana Islam (S1) 2018
- Hasil Survey Penyelenggaraan Program Studi Program Studi Hukum Ekonomi Syariah 2017/2018 -- ( Note tahun 2019 Blum dilakukan )
- Tracer Alumni 2018

## Conclusion:

Program Studi Hukum Ekonomi Syariah (HES) found using QMS ISO 9001 as its tool to achieve the objectives. Although a minor raised regarding the RPS established.

## Kepegawaian, Sarana dan Prasarana::

Auditee: Drs. M. Teguh Ali, M.Si, Dra. Romziah

Clause: 6.1-6.2, 7.1-7.5, 9.1-9.3, 10.1-10.3

Mr. Teguh, as the head of this department showing strong leadership, this can be seen from the realization of its plan. From Realisasi POK + SALWA + APRESIASI tahun 2018, his department showing 97% of realization, the best compared to other department.

The PDCA cycle was also implemented, both for people development and infrastructure provision.

Example of people development plan can be seen from Pengusulan Kenaikan Pangkat a.n. Dra. Zuraidah, M.H.I, no. B-13/Un.09/KP02/01/2019, 07 Jan. 2019. The composition of lecturers and student was kept in normal ratio, although there 7 retired lecturers, but the gap maintained well. There are 54 persons, 32 men and 22 women.

The infrastructure was also well-maintained, for the broken checked ones, the replacement found proposed, due to a fact that the procurement shall be conducted by University. The example of this proposal can be seen in Surat Permohonan Pengadaan Barang, no. B-38/Un.09/PP.09/01/2019, 22 Jan. 2019, where 98 chairs requested for replacement.

The selection of external providers was performed by University, but the evaluation conducted by authorities base on the provided products or services. For external lecturers, Kaprodi will evaluate them through internal audit which included in Internal Audit report. For others than that, University will evaluate them (not directly by organization). Even for goods procurements, the evaluation was conducted by external authorities like BPK or Irjen.

Audit evidences:

- Sasaran Kerja Pegawai (SKP), a.n. Drs. M. Teguh Ali, M.Si, (IVB).2019
- Keputusan Rektor no. 1445/2019, Penetapan Kewajiban Mengajar Dosen Tidak Tetap/Dosen Luar Biasa pada Semester Ganjil Tahun Ajaran 2019/2020 Fakultas Syariah dan Hukum, 8 Aug. 2019.
- Keputusan Rektor no. 1470/2019, Penetapan Kewajiban Mengajar Dosen ASN dan NON ASN Semester Ganjil Tahun Ajaran 2019/2020 Fakultas Syariah dan Hukum, 12 Aug. 2019.
- Pengusulan Kenaikan Pangkat a.n. Maryono. No. B-464/Un.09/KP02/01/2019, 04 Desember 2019.
- Daftar Nama & Data Dosen Pada Fakultas Syariah dan Hukum UIN Raden Fatah Palembang, 2019
- Daftar Nama & Data Dosen Luar Biasa Pada Fakultas Syariah dan Hukum UIN Raden Fatah Palembang 2019
- Data Dosen Non ASN Tahun 2020
- Data Pegawai Kontrak BLU Tahun 2020 ..
- Laporan Workshop Manajemen Fakultas Syariah dan Hukum Bagi Tenaga Pendidik dan Kependidikan Tahun 2019 - Date 31 Agustus 2019.
- Laporan Rapat Kerja Tahun 2019, Agenda Kegiatan Tahun 2019 dan Program Kerja Tahun 2019, di Hotel Jambuluwuk Jogjakarta tanggal 1 Maret 2019. ( penyerapan anggaran 97 % )
- Jobdis, Uraian Tugas Pejabat, Pegawai, Dosen BLU dan Pegawai BLU. Jobdis Pejabat Fakultas Syariah dan Hukum Berdasarkan Permenag RI No. 16 Tahun 2020 Tentang Organisasi dan Tata Kerja UIN Raden Fatah Palembang.
- Rencana Kerja dan Anggaran Kementerian/Lembaga (RKA-K/L) Fakultas Syariah dan Hukum Tahun 2020
- Realisasi POK + SALWA + APRESIASI tahun 2019
- Daftar Barang Ruangan (DBR) Fakultas Syariah dan Hukum tahun 2020
- Laporan Hasil Monitoring dan Penataan Ruang Kuliah, 4 Januari 2020.
- Surat Permohonan Renovasi dan BMN, No. B-007/Un.09/PP.09/01/2020, 9 Januari 2020

**Conclusion:**

Kepagawaian, Sarana dan Prasara who in charge in managing resources, both people and infrastructure were effectively implement the system as required. No NC raised

## Minor (5) nonconformities arising from this assessment.

<b>Finding Reference</b>	1877391-202001-N1	<b>Certificate Reference</b>	FS 637518
<b>Certificate Standard</b>	ISO 9001:2015	<b>Clause</b>	9.3
<b>Category</b>	Minor		
<b>Area/Process:</b>	GPMF/GPMP:		
<b>Statement of non conformance:</b>	Tinjauan Manajemen tahun 2019 belum dilakukan.		
<b>Clause requirements</b>	Management review		
<b>Objective Evidence</b>	Belum dapat ditunjukkan hasil proses tinjauan manajemen tahun 2019 , dimana dokumen yang ditunjukkan baru berupa Foto kegiatan Rapat tinjauan manajemen.		
<b>Cause</b>			

<b>Correction/containment</b>
<b>Corrective action</b>

<b>Finding Reference</b>	1877391-202001-N2	<b>Certificate Reference</b>	FS 637518
<b>Certificate Standard</b>	ISO 9001:2015	<b>Clause</b>	9.2
<b>Category</b>	Minor		
<b>Area/Process:</b>	GPMF/GPMP:		
<b>Statement of non conformance:</b>	Belum dapat ditunjukkan dokumentasi tindak lanjut temuan internal audit (AMI ) telah diperbaiki, penjelasan temuan tersebut sudah di tindak lanjuti hanya berdasarkan hasil interview.		
<b>Clause requirements</b>	Internal audit		
<b>Objective Evidence</b>	Temuan internal audit		
<b>Cause</b>			
<b>Correction/containment</b>			
<b>Corrective action</b>			

<b>Finding Reference</b>	1877391-202001-N3	<b>Certificate Reference</b>	FS 637518
<b>Certificate Standard</b>	ISO 9001:2015	<b>Clause</b>	9.1
<b>Category</b>	Minor		
<b>Area/Process:</b>	Program Studi Perbandingan Mazhab:		
<b>Statement of non conformance:</b>	Belum dapat ditunjukkan tindak lanjut / rekomendasi hasil dari monitoring dan evaluasi proses pembelajaran tahap 1 dan tahap 2 tahun 2019.		
<b>Clause requirements</b>	Monitoring, measurement, analysis and evaluation		
<b>Objective Evidence</b>	Hasil monitoring prodi PPM dan HPI		
<b>Cause</b>			



<b>Correction/containment</b>
<b>Corrective action</b>

<b>Finding Reference</b>	1877391-202001-N4	<b>Certificate Reference</b>	FS 637518
<b>Certificate Standard</b>	ISO 9001:2015	<b>Clause</b>	7.5.2
<b>Category</b>	Minor		
<b>Area/Process:</b>	Program Studi Perbandingan Mazhab:		
<b>Statement of non conformance:</b>	Beberapa dokumen seperti hasil survey Penyelenggaraan Program Studi Program Studi Perbandingan Mazhab (2018) dan Tracer Alumni 2017 belum update.		
<b>Clause requirements</b>	<p>Creating and updating</p> <p>When creating and updating documented information, the organization shall ensure appropriate:</p> <p>a) identification and description (e.g. a title, date, author, or reference number);</p> <p>b) format (e.g. language, software version, graphics) and media (e.g. paper, electronic);</p> <p>c) review and approval for suitability and adequacy.</p>		
<b>Objective Evidence</b>	Dokumen di Prodi PPM dan HPI		
<b>Cause</b>			
<b>Correction/containment</b>			
<b>Corrective action</b>			

<b>Finding Reference</b>	1877391-202001-N5	<b>Certificate Reference</b>	FS 637518
<b>Certificate Standard</b>	ISO 9001:2015	<b>Clause</b>	8.3.4
<b>Category</b>	Minor		
<b>Area/Process:</b>	Program Studi Hukum Pidana Islam (Jinayah):		
<b>Statement of non conformance:</b>	Pengendalian kelengkapan pembelajaran belum di lakukan dengan baik.		

<b>Clause requirements</b>	<p>Design and development controls</p> <p>The organization shall apply controls to the design and development process to ensure that:</p> <ul style="list-style-type: none"> <li>a) the results to be achieved are defined;</li> <li>b) reviews are conducted to evaluate the ability of the results of design and development to meet requirements;</li> <li>c) verification activities are conducted to ensure that the design and development outputs meet the input requirements;</li> <li>d) validation activities are conducted to ensure that the resulting products and services meet the requirements for the specified application or intended use;</li> <li>e) any necessary actions are taken on problems determined during the reviews, or verification and validation activities;</li> <li>f) documented information of these activities is retained.</li> </ul> <p>NOTE Design and development reviews, verification and validation have distinct purposes. They can be conducted separately or in any combination, as is suitable for the products and services of the organization.</p>
<b>Objective Evidence</b>	<p>Dalam dokumen Rencana Pembelajaran Semester untuk mata kuliah Masail Fiqh Jinayah terdapat ketidak sesuaian. Seperti :</p> <ul style="list-style-type: none"> <li>- Adanya perbedaan kode mata kuliah eq. Kode MK diKurikulum HPI 5442 dan di RPS Kode : HPD 7332</li> <li>- Tidak adanya tanda tangan dosen pengampu dan tanda tangan verifikasi prodi.</li> </ul>
<b>Cause</b>	
<b>Correction/containment</b>	
<b>Corrective action</b>	

## Next visit objectives, scope and criteria

The objective of the assessment is to conduct a surveillance assessment and look for positive evidence to ensure the elements of the scope of certification and the requirements of the management standard are effectively addressed by the organisation's management system and that the system is demonstrating the ability to support the achievement of statutory, regulatory and contractual requirements and the organisations specified objectives, as applicable with regard to the scope of the management standard, and to confirm the on-going achievement and applicability of the forward strategic plan.

The scope of the assessment is the documented management system with relation to the requirements of ISO 9001:2015 and the defined assessment plan provided in terms of locations and areas of the system and organisation to be assessed.



ISO 9001:2015

Fakultas Syariah dan Hukum Islam management system documentation

Please note that BSI reserves the right to apply a charge equivalent to the full daily rate for cancellation of the visit by the organisation within 30 days of an agreed visit date.

## Next Visit Plan

Date	Auditor	Time	Area/Process	Clause
			Tim Penjaminan Mutu/ Gugus Kendali Mutu Fakultas	
			Program Studi Perbandingan Mazhab	
			Program Studi Hukum Keluarga Islam	
			Program Studi Muamalah (HES)	
			Program Studi S2 Hukum Tata Negara	
			Laboratorium dan Perpustakaan	

## Appendix: Your certification structure & ongoing assessment programme

### Scope of Certification

#### FS 637518 (ISO 9001:2015)

The provision of educational services for Program Studi Perbandingan Mazhab, Program Studi Hukum Pidana Islam (Jinayah), Program Studi Hukum Keluarga Islam (Ahwal Syahkshiyah), Program Studi Muamalah and Program Studi Hukum Tata Negara (S2)

### Assessed location(s)

The audit has been performed at Central Office.

#### Palembang / FS 637518 (ISO 9001:2015)

Location reference	0047564371-000
Address	Fakultas Syariah dan Hukum UIN Raden Fatah Palembang Jl. Prof. K.H. Zainal Abidin Fikry No. 1 KM 3.5 Palembang

	30126 Indonesia
<b>Visit type</b>	Continuing assessment (surveillance)
<b>Assessment reference</b>	3115067
<b>Assessment dates</b>	30/01/2020
<b>Deviation from Audit Plan</b>	No
<b>Total number of Employees</b>	71
<b>Effective number of Employees</b>	71
<b>Scope of activities at the site</b>	Main Certificate Scope applies.
<b>Assessment duration</b>	2 day(s)

## Certification assessment program

**Certificate Number - FS 637518**

**Location reference - 0047564371-000**

		<b>Audit1</b>	<b>Audit2</b>	<b>Audit3</b>	<b>Audit4</b>	<b>Audit5</b>
<b>Business area/Location</b>	<b>Date (mm/yy):</b>	01/19	06/19	01/20	01/21	01/22
	<b>Duration (days):</b>	2	2	2	2	4
Dekan/ Wakil Dekan (Faculty)		X	X	X		X
Tim Penjaminan Mutu/ Gugus Kendali Mutu Fakultas		X	X	X	X	X
Program Studi Perbandingan Mazhab		X		X	X	X
Program Studi Hukum Pidana Islam (Jinayah)		X		X		X
Program Studi Hukum Keluarga Islam			X		X	X
Program Studi Muamalah (HES)			X	X	X	X
Program Studi S2 Hukum Tata Negara			X		X	X
Kepegawaian, Sarana dan Prasarana		X	X	X		X
Laboratorium dan Perpustakaan		X	X		X	X

## Expected outcomes for accredited certification.

### What accredited certification to ISO 9001 means

ISO 9001:2015 specifies requirements for a quality management system when an organization: needs to demonstrate its ability to consistently provide products and services that meet customer and applicable statutory and regulatory requirements; and aims to enhance customer satisfaction through the effective application of the system, including processes for improvement of the system and the assurance of conformity to customer and applicable statutory and regulatory requirements.

**What accredited certification to ISO 9001 does not mean**

1) It is important to recognize that ISO 9001 defines the requirements for an organization's quality management system, not for its products and services. Accredited certification to ISO 9001 should provide confidence in the organization's ability to "consistently provide product that meets customer and applicable statutory and regulatory requirements". It does not necessarily ensure that the organization will always achieve 100% product conformity, though this should of course be a permanent goal.

2) ISO 9001 accredited certification does not imply that the organization is providing a superior Product or service, or that the product or service itself is certified as meeting the requirements of an ISO (or any other) standard or specification.

**Definitions of findings:**

Non-conformity:

Non-fulfilment of a requirement.

Major nonconformity:

Nonconformity that affects the capability of the management system to achieve the intended results.

Nonconformities could be classified as major in the following circumstances:

- If there is a significant doubt that effective process control is in place, or that products or services will meet specified requirements;
- A number of minor nonconformities associated with the same requirement or issue could demonstrate a systemic failure and thus constitute a major nonconformity.

Minor nonconformity:

Nonconformity that does not affect the capability of the management system to achieve the intended results.

Opportunity for improvement:

It is a statement of fact made by an assessor during an assessment, and substantiated by objective evidence, referring to a weakness or potential deficiency in a management system which if not improved may lead to nonconformity in the future. We may provide generic information about industrial best practices but no specific solution shall be provided as a part of an opportunity for improvement.

Observation:

It is ONLY applicable for those schemes which prohibit the certification body to issue an opportunity for improvement.

It is a statement of fact made by the assessor referring to a weakness or potential deficiency in a management system which, if not improved, may lead to a nonconformity in the future.

**How to contact BSI**

Should you wish to speak with BSI in relation to your registration, please contact your customer service officer.

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## Notes

*This report and related documents are prepared for and only for BSI's client and for no other purpose. As such, BSI does not accept or assume any responsibility (legal or otherwise) or accept any liability for or in connection with any other purpose for which the Report may be used, or to any other person to whom the Report is shown or in to whose hands it may come, and no other persons shall be entitled to rely on the Report. If you wish to distribute copies of this report external to your organisation, then all pages must be included.*

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*This audit was conducted on-site through document reviews, interviews and observation of activities. The audit method used was based on sampling the organization's activities and it was aimed to evaluate the fulfilment of the audited requirements of the relevant management system standard or other normative document and confirm the conformity and effectiveness of the management system and its continued relevance and applicability for the scope of certification.*

*As this audit was based on a sample of the organization's activities, the findings reported do not imply to include all issues within the system.*

## Regulatory compliance

*BSI requires to be informed of all relevant regulatory non-compliance or incidents that require notification to any regulatory authority. Acceptance of this report by the client signifies that all such issues have been disclosed as part of the assessment process and agreement that any such non-compliance or incidents occurring after this visit will be notified to BSI as soon as practical after the event.*